

EUIAS Level 2 End-point Assessment for Water Process Operative (Clean Water Process Operative; Waste Water Process Operative)

# **Supporting Documents**

QAN 610/0243/4













# Supporting Documents for

EUIAS Level 2 End-point Assessment for Water Process Operative

(Clean water process operative; Waste water process operative)

### QAN 610/0243/4

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## Updates to the supporting documents

Since the first publication of the EUIAS Water Process Operative Supporting Documents – Clean water process operative; Waste water process operative, the following updates have been made.

Version	Date first published	Section updated	Page(s)
V2.0	August 2023	Rebranded	All
V1.0	Apr 2023	First published	All



## Appendix A: Glossary

**Amplification** – provides more detail on how individual knowledge, skills or behaviours statements should be interpreted. Where the KSB statements, themselves are deemed self-explanatory, no amplification is provided. Assessment may include questions on anything identified in the amplification

**Behaviours (as part of KSBs)** – specific mindsets, attitudes or approaches identified as part of the apprenticeship standard that must be evidenced during endpoint assessment

**Elements** – are the knowledge, skills and behaviours and what is needed to competently undertake the duties required for an occupational standard

**Gateway** - the stage of the apprenticeship where the apprentice, employer and training provider determine whether the apprentice is ready to undertake end-point assessment

**Guidance** – is only provided where it is required to support interpretation of the KSB statements

**Knowledge (as part of KSBs)** – specific information, technical detail, and 'knowhow' identified as part of the apprenticeship standard that must be evidenced during end-point assessment

**Pathways** – a specialist route within an apprenticeship standard that builds on the occupational competence for a new entrant to the occupation

**Skills (as part of KSBs)** – the practical application of knowledge identified as part of the apprenticeship standard that must be evidenced during end-point assessment

**Standard** – An occupational standard is a description of an occupation. It contains occupational profile, and describes KSBs needed for someone to be competent in the occupation's duties. Occupational standards are developed by employers for occupations that meet the Institute for Apprenticeships and Technical Education current occupation criteria

**Topic** - is a collection of elements grouped into a theme e.g. Health and Safety

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## Appendix B: Gateway Eligibility Form

(Standard Version: ST0876 version 1.0; Assessment Plan Version: AP02)

Apprentice's name:	Apprentice's job title:
Name of Employer:	Name of Training provider:
Employer representatives present:	Training provider representatives present:
Apprenticeship start date:	Apprenticeship on-programme end date:
Gateway meeting date:	
Has the apprentice taken any part of the end-point assessment for this apprenticeship standard with any other End Point Assessment Organisation?	Y/N
If "Yes" please give details:	

Apprentice's details

Eligibility requirements:

The apprentice must confirm their achievement of the following:

Eligibility requirement	Achieved by the apprentice? Y/N	Evidence (Scans of certificates MUST be included)
Achieved Level 1 English or higher		
Achieved Level 1 Maths or higher		
Satisfactory completion of the formal training plan agreed with apprentice by the employer		
Compiled and submitted a competent portfolio of evidence on which the interview will be based		



## Gateway Eligibility Declaration

The apprentice, the employer and the training provider must sign this form to confirm that they understand and agree to the following:

- 1. The apprentice has completed the required on-programme elements of the apprenticeship and is ready for end-point assessment with EUIAS.
- 2. EUIAS has been informed about any reasonable adjustment and/or special considerations requests.
- 3. The apprentice will only submit their own work as part of end-point assessment.
- 4. All parties agree that end-point assessment evidence may be recorded and stored by EUIAS for quality assurance purposes.
- 5. The apprentice has been on-programme for a minimum duration of 365 days.
- 6. The apprentice has achieved English and maths Level 1 or higher as detailed in this document.
- 7. The apprentice satisfactorily completed a formal training plan agreed by the employer.
- 8. The apprentice has compiled and submitted a competent work log of evidence, on which the interview will be based.
- The apprentice, if successful, gives permission for EUIAS to request the apprenticeship. certificate from the ESFA who issue the certificate on behalf of the Secretary of State.
- 10. The apprentice has been directed to the EUIAS Appeals Policy and Complaints Policy.
- 11. The employer/training provider has given the EUIAS at least three months' notice of requesting this EPA for this apprentice.
- 12. If the Gateway Eligibility Report is not completed in full, meeting all requirements, and submitted to EUIAS, the end-point assessment cannot take place.



Signed on behalf of the employer (print name):	Signature:	Date:	
Signed on behalf of the training provider (print name):	Signature:	Date:	
Apprentice's name (print):	Signature:	Date:	
EUIAS use only:	EUIAS use only:		
EUIAS Sign off:			
Comments/actions:			



# Appendix C: Practice Knowledge Tests



# Level: 3

Water Process Operative

Pathway: Clean Water

Paper Code: SAMPLE PRACTICE PAPER

This sample test consists of 30 questions. The live test has 40 questions.

The Pass grade is 70%.

The duration of this examination is 45 minutes. The live test has a duration of 60 minutes.

You must use a pencil to complete the answer sheet - pens must NOT be used. When completed, please leave the examination answer sheet and question paper on the desk.

For this paper the use of a scientific calculator (non-programmable) is permitted.

For each question, fill in ONE answer ONLY.

If you make a mistake, ensure you erase it thoroughly.

You must mark your choice of answer by shading in ONE answer circle only. Please mark each choice like this:

1 (A) (B) (C) ANSWER COMPLETED CORRECTLY

Examples of how NOT to mark your examination answer sheet These will not be recorded.

DO NOT partially shade the answer circle
ANSWER COMPLETED INCORRECTLY

DO NOT use ticks or crosses

ANSWER COMPLETED INCORRECTLY

A B O DO NOT use circles

ANSWER COMPLETED INCORRECTLY

DO NOT shade over more than one answer circle
ANSWER COMPLETED INCORRECTLY

This paper must be returned to EUIAS with the apprentice answer sheets.



# You may use this page for rough work



Question 1		
The Cus	The Customer Experience Measure (CMeX) is:	
Possible answers		
a)	a financial incentive mechanism for UK water companies	
b)	a reputational incentive mechanism for UK water companies	
c)	designed to reward UK water companies who provide their customers with excellent levels of service	
d)	designed to reward UK water companies who provide their domestic customers with excellent levels of service	

Question 2		
The UK	The UK water industry is subject to stricter regulation than other industries such as	
hospital	ity and retail because:	
Possible answers		
a)	the service provided is essential and customers have no choice in who provides it	
b)	the UK water industry needs to retain a professional and well-trained workforce to deliver an effective service to customers	
c)	many water companies have parent companies outside of the UK	
d)	it is required by a European Directive	

Question 3	
Working at height is any activity in which:	
Possible answers	
a)	a person could fall a distance liable to cause personal injury
b)	a person could fall greater than 2.5 metres
c)	work takes place at more than 2.5 metres above ground level
d)	work takes place in a position above the ground



Questic	Question 4	
In the w	In the workplace a hazard is defined as something that:	
Possible answers		
a)	has a high chance of causing harm to a person	
b)	has the potential to cause harm to a person	
c)	is a danger that cannot be eliminated	
d)	is clearly marked as dangerous by the employer	

Question 5		
Which of the following items of additional PPE would <b>not</b> normally be required		
wnen w	orking on a lime dosing pump?	
Possible answers		
a)	Full face mask	
b)	Chemical suit	
c)	Corrosion resident gloves	
d)	Breathing apparatus	

Question 6	
Which of the following would <b>not</b> be considered part of an operative's standard PPE?	
Possible answers	
a)	Gloves
b)	Hard hat
c)	Safety boots
d)	Chemical suit



Questio	Question 7		
What is	What is the most effective way to clean visible dirt off tools?		
Possible answers			
a)	Use a cloth and then clean with chlorine solution (1000 mg/litre)		
b)	Use a cloth and clean with chlorine solution (10 mg/litre)		
c)	Wipe it, bag it and send to an approved tool cleaning company		
d)	Use a cloth and wash using clean water		

Question 8	
Which legislation requires water companies to supply 'wholesome' water to customers?	
Possible answers	
a)	Environmental Protection Act 1990
b)	The Water Supply (Water Quality) Regulations 2018
c)	Water Act 2014
d)	Health and Safety at Work Act 1974

Question 9	
If it is not appropriate to release treated, chlorinated water to the network for distribution, how should it be disposed of?	
Possible answers	
a)	Dechlorinate and discharge at a point with prior permission from the Environment Agency
b)	Super-chlorinate and distribute to service reservoirs via tankers
c)	Via tankers into a nearby watercourse
d)	Via local council specialist waste disposal



Question 10		
What is	What is the average volume of water used per person every day in the UK?	
Possible answers		
a)	80 litres per day	
b)	100 litres per day	
c)	150 litres per day	
d)	240 litres per day	

Question 11	
What is the volume of a cylindrical tank, to 1 decimal place, with a radius of 3 metres and a depth of 15 metres?	
Possible answers	
a)	424.1 m <sup>3</sup>
b)	4,241.1 m <sup>3</sup>
c)	141.4 m <sup>3</sup>
d)	1,413.7 m <sup>3</sup>

Question 12	
A water treatment works produces 5 ML (mega litres) per day.	
How much is this in litres?  Possible answers	
a)	5,000,000 litres
b)	500,000 litres
c)	50,000,000 litres
d)	5,000 litres



### **Question 13**

A reservoir measuring 10 metres long, 5 metres wide and 2 metres deep is 83% full of water.

How many litres of water is in the reservoir?

Possible answers	
a)	8,300
b)	80,000
c)	83,000
d)	100,000

#### **Question 14**

After using a 'spill kit' to clear up a minor spillage, what is the next step in the spill clean-up process?

Cicani	cican-up process:	
Possible answers		
a)	Dispose of PPE and order a replacement set	
b)	Replace the spill kit and follow reporting procedures	
c)	Tell colleagues about the spillage	
d)	Assess the risk	

Question 15		
An unacceptable method of disinfection is:		
Possibl	Possible answers	
a)	UV filtration	
b)	chlorination	
c)	ozonation	
d)	rapid gravity filtration	



Question 16	
When should a rapid gravity filter be backwashed?	
Possible answers	
a)	Every 1 -3 months
b)	When the head loss reaches a predetermined level
c)	Following a decrease in raw water turbidity
d)	Following a failure in final water disinfection

Question 17		
Which p	Which pathogenic microorganism is resistant to chlorine disinfection?	
Possible answers		
a)	E.coli	
b)	Cryptosporidium	
c)	Ebola	
d)	Enterococcus	

Question 18	
Orthophosphate dosing is used to:	
Possible answers	
a)	control the pH of the water
b)	control lead levels in the water
c)	help to reduce turbidity and colour
d)	help reduce the hardness level of the water



Question 19	
Which of the following raw water sources usually requires least treatment?	
Possible answers	
a)	Ground water sources
b)	Upland water sources
c)	Lowland water sources
d)	Brackish water sources

Question 20			
Which of the following water sources is <b>NOT</b> commonly used in the UK for producing potable water?			
Possible answers			
a)	Upland river		
b)	Sea water		
c)	Lowland river		
d)	Upland reservoir		

Question 21				
Water is most likely to originate from an upland surface water source when:				
Possible answers				
a)	the water is very turbid and low in colour			
b)	the water is high in nitrates			
c)	the water is soft and high in colour			
d)	the water is moderately hard with a pH above 7.0			



Question 22			
The wat	The water cycle shows that the total volume of water on earth:		
Possible answers			
a)	is always decreasing		
b)	is always increasing		
c)	remains constant		
d)	is in the oceans		

Question 23			
Which ONE of the following chemicals is <b>NOT</b> used as a coagulant?			
Possible answers			
a)	Poly aluminium chloride		
b)	Ferric sulphide		
c)	Aluminium sulphide		
d)	Sodium hydroxide		

Question 24			
Hypochlorous acid is formed when:			
Possible answers			
a)	chlorine dissolves in water		
b)	organic material and chlorine react together		
c)	orthophosphate and chlorine react together		
d)	there is a fault in the clarification stage of the treatment process		



Question 25			
Which of the following chemicals is a common coagulant used in water treatment?			
Possible answers			
a)	Orthophosphate		
b)	Ferric sulphate		
c)	Chlorine		
d)	Lime		

Question 26				
Why is the pH of water often corrected immediately prior to discharge into the network?				
Possible answers				
a)	To improve taste			
b)	To reduce pesticides			
c)	To prevent discolouration			
d)	Acidic water can corrode pipes and fittings			

Question 27			
According to the Water Supply (Water Quality) Regulations, a sample of customer			
tap water must have:			
Possible answers			
a)	no more than 1 count of E. coli is permitted within a 100 ml sample		
b)	zero coliform bacteria within a 100 ml sample		
c)	lead concentration less than 50 μgPb/L within a 100ml sample		
d)	free chlorine residual less than 0.3mg/L within a 100ml sample		



Question 28			
Which of the following water quality failures is <b>NOT</b> usually considered a health risk?			
Possibl	Possible answers		
a)	Iron		
b)	E.coli		
c)	Aluminium		
d)	Cryptosporidium		

Question 29					
Which ONE of the following situations would prevent an operative from working in a restricted area?					
Possible answers					
a)	The operative has a common cold				
b)	The operative has just returned from a foreign holiday				
c)	The operative has persistent vomiting or diarrhoea				
d)	The operative has just returned to work following a period of illness				

Question 30			
Anyone working on 'restricted operations' must:			
Possible answers			
a)	have security clearance		
b)	have training in chlorine handling		
c)	hold a valid National Water Hygiene passport		
d)	hold a valid Safety Health and Environmental Awareness (SHEA) Passport		



## **Sample Practice Test Answer Scheme**

Question	Answer	Question	Answer	Question	Answer
1	D	11	А	21	С
2	А	12	Α	22	С
3	А	13	С	23	D
4	В	14	В	24	А
5	D	15	D	25	В
6	D	16	В	26	D
7	А	17	В	27	В
8	В	18	В	28	А
9	Α	19	D	29	С
10	С	20	В	30	С



# Level: 3

Water Process Operative

Pathway: Waste Water

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Question 2	
The UK water industry is subject to stricter regulation than other industries such as	
hospitality and retail because:	
Possible answers	
a)	the service provided is essential and customers have no choice in who
	provides it
b)	the UK water industry needs to retain a professional and well-trained
	workforce to deliver an effective service to customers
c)	many water companies have parent companies outside of the UK
d)	it is required by a European Directive

Question 3		
Working	Working at height is any activity in which:	
Possib	Possible answers	
a)	a person could fall a distance liable to cause personal injury	
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Questic	Question 4	
In the workplace a hazard is defined as something that:		
Possible answers		
a)	has a high chance of causing harm to a person	
b)	has the potential to cause harm to a person	
c)	is a danger that cannot be eliminated	
d)	is clearly marked as dangerous by the employer	

Question 5	
Which piece of additional PPE should be used when handling screenings from a wastewater treatment site?	
Possible answers	
a)	Harness
b)	Needle-stick resistant gloves
c)	Emergency breathing apparatus
d)	Gas monitor

Question 6		
Which of the following would <b>not</b> be considered part of an operative's standard PPE?		
Possibl	Possible answers	
a)	Gloves	
b)	Hard hat	
c)	Safety boots	
d)	Chemical suit	



Question 7		
Where i	Where in the process would you find a humus tank?	
Possible answers		
a)	After the activated sludge plant	
b)	Before the primary settlement tank	
c)	After the storm tank	
d)	After the biological percolating filters	

Question 8	
Which legislation requires water companies to supply "wholesome" water to customers?	
Possible answers	
a)	Environmental Protection Act 1990
b)	The Water Supply (Water Quality) Regulations 2018
c)	Water Act 2014
d)	Health and Safety at Work Act

Question	Question 9	
If it is not appropriate to release treated, chlorinated water to the network for distribution, how should it be disposed of?		
Possible	Possible answers	
a)	Dechlorinate and discharge at a point with prior permission from the Environment Agency	
b)	Super-chlorinate and distribute to service reservoirs via tankers	
c)	Via tankers into a nearby watercourse	
d)	Via local council specialist waste disposal	



Question 10		
Which o	Which of the following items would <b>NOT</b> be considered a gross solid?	
Possible answers		
a)	Nappies	
b)	Masonry	
c)	Rags	
d)	Primary sludge	

Question 11	
What is the volume of a cylindrical tank, to 1 decimal place, with a radius of 3 metres and a depth of 15 metres?	
Possible answers	
a)	424.1 m <sup>3</sup>
b)	4,241.1 m <sup>3</sup>
c)	141.4 m <sup>3</sup>
d)	1,413.7 m <sup>3</sup>

Question 12		
A water	A water treatment works produces 5 ML (mega litres) per day.	
How mu	How much is this in litres?	
Possible answers		
a)	5,000 litres	
b)	500,000 litres	
c)	5,000,000 litres	
d)	50,000,000 litres	



#### **Question 13**

A reservoir measuring 10 metres long, 5 metres wide and 2 metres deep is 83% full of water.

How many litres of water is in the reservoir?

Possible answers	
a)	100,000
b)	83,000
c)	80,000
d)	8,300

#### **Question 14**

After using a 'spill kit' to clear up a minor spillage, what is the next step in the spill clean-up process?

clean-up process?	
Possible answers	
a)	Dispose of PPE and order a replacement set
b)	Replace the spill kit and follow reporting procedures
c)	Tell colleagues about the spillage
d)	Assess the risk

Question 15	
A storm tank should return flow-to-full treatment:	
Possible answers	
a)	as soon as possible after the storm event
b)	when the site is receiving full flow-to-full treatment
c)	when the sludge tanker has emptied the sludge tank
d)	when the primary tank has been dropped after desludging



Question 16	
The main health hazard from hosing out a blocked inlet screen is:	
Possible answers	
a)	sewage in the face, mouth and eyes
b)	asphyxiation from carbon monoxide gas
c)	an explosion when polyaluminium chloride mixes with carbon dioxide
d)	repetitive strain injury

Question 17	
Which ONE of the following is <b>NOT</b> a symptom of Weil's Disease (leptospirosis)?	
Possible answers	
a)	Diarrhoea
b)	Tummy ache
c)	Yellowing of the skin
d)	Convulsions

Question 18		
Which c	Which of the following is considered biological treatment of sewage?	
Possible answers		
a)	Fixed-film filtration	
b)	Primary settlement	
c)	Preliminary treatment	
d)	Membrane filtration	



Question 19	
Which of these treatment processes will <b>not</b> reduce ammonia levels in final effluent?	
Possible answers	
a)	Slow sand filter
b)	Rapid gravity filter
c)	UV filter
d)	Membrane filter

Question 20	
Which of the following types of waste is considered hazardous?	
Possible answers	
a)	Sewage sludge
b)	Screenings
c)	Grit
d)	Asbestos piping

Question 21		
Which	Which of the following is <b>not</b> usually part of a wastewater treatment work's Permit	
to discharge?		
Possible answers		
a)	Biochemical Oxygen Demand (BOD)	
b)	Ammonia	
c)	Chemical Oxygen Demand (COD)	
d)	Free chlorine	



Question 22	
Under which circumstance might the Environment Agency issue an exemption when a wastewater treatment works breaches its permit conditions?	
Possible answers	
a)	Exemptions are never issued
b)	If the temperature was under 5°C
c)	Site power failure
d)	Serious mechanical faults

Question 23	
Ferric is sometimes added to wastewater as part of the treatment process to:	
Possible answers	
a)	aid settlement
b)	kill harmful bacteria
c)	improve biological treatment
d)	remove colour from final effluent

Question 24	
Which ONE of the following is <b>NOT</b> a reason for removing sludge from wastewater?	
Possible answers	
a)	To use the sludge to generate biogas
b)	To use the sludge as fertiliser
c)	To improve the quality of final effluent discharged to the environment
d)	To prevent malodour complaints



Question 25			
Which ONE of the following pieces of information <b>CANNOT</b> be gained from SCADA?			
Possible answers			
a)	Sludge holding tank level		
b)	Flow of sludge to holding tanks over the past 7 days		
c)	Desludge pumps are on duty or standby		
d)	Customer complaints relating to malodour		

Question 26		
What percentage of raw sewage is pure water?		
Possible answers		
a)	0.1-0.5%	
b)	2-3%	
c)	5-10%	
d)	10-15%	

Question 27				
Identify	Identify the test that an operative would use to determine how well sludge is likely			
to settle:				
Possible answers				
a)	SSVI			
b)	MLSS			
c)	COD			
d)	BOD			



Question 28		
Which ONE of the following is <b>NOT</b> a risk to operatoives when working at a wastewater treatment site?		
Possible answers		
a)	Contracting Leptospirosis (Weil's disease)	
b)	Needle-prick injuries	
c)	Muscle strain from lifting	
d)	Lead poisoning	

Question 29			
An operative needs to hose out a blocked inlet screen. What addition to standard PPE should be worn?			
Possible answers			
a)	Face shield		
b)	Class 3 PPE conforming to EN20471		
c)	Ear defenders		
d)	Latex safety boots		

Question 30				
Which ONE of the following is <b>NOT</b> one of the main reasons for treating				
wastewater?				
Possible answers				
a)	Protection of public health			
b)	Preventing pollution			
c)	Complying with the Environmental Permitting (England and Wales) Regulations			
d)	Complying with the Water Quality (Water Supply) legislation			



## **Sample Practice Test Answer Scheme**

Question	Answer	Question	Answer	Question	Answer
1	D	11	Α	21	D
2	Α	12	С	22	В
3	Α	13	В	23	А
4	В	14	В	24	D
5	В	15	А	25	D
6	D	16	Α	26	Α
7	D	17	D	27	Α
8	В	18	Α	28	D
9	A	19	С	29	А
10	D	20	D	30	D



# **EUIAS MCQ Example answer Sheet**

#### **SAMPLE ANSWER SHEET**

ENERGY & UTILITIES
INDEPENDENT
ASSESSMENT SERVICE

Candidate ID	Atte	mpt			
Last Name					
First Name					
Exam Date	Pa	aper			
Centre Name					
Centre Number					
MARKING INSTRUCTIONS					
Answers should be completed us	sing a HB pencil.				
⊗  ⑤  ⑤  ANSWER COMPL  Output  Description:  Output  Descript	ETED CORRECTLY				
Examples of how NOT to mark you	examination sheet. These will not	be recorded			
	shade the answer circle.				
⊗  ⊗  ⊗  DO NOT use ticks	or crosses.				
(A) (B) (C) (D) DO NOT use circle	es.				
	er more than one circle.				
1 🛭 🗗 🗇	21 A 6 O O	41 🕙 📵 🔘			
2 🛭 🗗 🗇 🗇	22 🛆 🖲 🔘 🔘	42 A B O O			
3 0 0 0	23 🛆 📵 🔘 🔘	43 A B O O			
4 8 8 0 0	24 🛆 🖲 🔘 🔘	44 A B O O			
5 \land 🖲 🔘 🔘	25 \land 🚯 🔘 🔘	45 A B © D			
6 🛭 🗗 🔘	26 🛭 🖺 🔘 🔘	46 A B O O			
7 🛭 🗗 🔘	27 \land 🚯 🔘 🔘	47 A B C D			
8 0 0 0	28 🕒 🖲 🔘 🔘	48 A B O O			
9 🛭 🗗 🗇 🗇	29 \land 🗈 🔘 🔘	49 A B O D			
10 \land 🖲 🔘 🔘	30 🛆 🖲 🔘 🔘	50 A B O O			
11 🛭 🗗 🔿	31 🛆 🖲 🔘 🔘				
12 🛭 🗗 🗇 🔘	32 🛆 🖲 🔘 🔘				
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18 \land 🖲 🔘 🔘	38 🕙 🕲 🔘				
19 🛭 🗗 🔘	39 🛭 🕒 🔘				
20 A B A A	40 0 0 0				



## Appendix D - Portfolio Mapping Document

#### Introduction

Throughout the on-programme part of the apprenticeship, the apprentice will need to keep compile a portfolio of evidence to support the requirements of the interview. The evidence within the portfolio will need to be mapped to the KSB requirements using the mapping document overleaf.

The independent assessor will use the mapping document to review the evidence in their portfolio in preparation for the interview. The independent assessor will not assess the portfolio.

The portfolio mapping document below consists of:

- 2 pages covering mapping for core requirements
- 1 page covering mapping for the clean water process operative
- 1 page covering mapping for the waste water process operative

Apprentices should use the mapping for the core and the option they are following.

#### Apprentice's next steps

- 1. Complete all the details on the first page and include employer details of where relevant competencies from their experience at work was gained
- 2. Ensure each piece of evidence signed off by their tutor/supervisor/mentor and training provider. The apprentice can use a number of different types of evidence to demonstrate their competence as described in Section 6 of the Specification 'What to include in the portfolio'. For further guidance, the apprentice must seek advice from their tutor/supervisor/mentor and training provider
- 3. Map evidence to the criteria in the following pages using a referencing system indicating where the evidence for the criteria is located in the portfolio e.g., work based evidence Job 1 (J1) page 5 paragraph 2. This will allow the independent assessor to locate the section or specific piece of evidence being discussed and referred to during the interview
- 4. Place the portfolio mapping document at the front of the portfolio of evidence. The apprentice's training provider must make arrangements for EUIAS to have access to the apprentice's portfolio including the portfolio mapping document at Gateway. For those using e-portfolios such as ONEFILE or SMARTASSESSOR, the reference used must simply be the file or folder name you used when uploading the evidence to such systems.



# Portfolio Mapping Document

# **Mapping Sign off on Portfolio Completion:**

Apprentice Name (Print)	Apprentice Signature	Training Provider (Company)	Training Provider Signatory	Date of Sign Off

# GROUP 1: (Core) Water process operative role

Ref.	Apprenticeship Standard Criteria		PORTFOLIO EVIDENCE REFERENCE (Apprentice Input)		
		1	2	3	
K2	Describes the water process operative role, position in structure, limits of authority; escalation procedures				

# GROUP 2: (Core) Chemicals: delivery and storage

Ref.	Ref. Apprenticeship Standard Criteria		PORTFOLIO EVIDENCE REFERENCE (Apprentice Input)		
		1	2	3	
K12	Outlines delivery and storage requirements – delivery permits, storage requirements, tank limits and interceptor levels for a given water process chemical				



# GROUP 3: (Core) Collection evidence

Ref.	Apprenticeship Standard Criteria		RTFOL VIDENC FEREN entice I	E CE
K11	Knows and describes how to apply fault-finding	1		3
	techniques			
S5	Identifies a decline trend in process performance			
S8	Describes how to apply a fault-finding technique to			
	find the cause			

# GROUP 4: (Core) Stock

Ref.	Apprenticeship Standard Criteria	E'	ORTFOL VIDENC FEREN entice I	E CE
		1	2	3
S16	Describes how they audit and request chemical and consumables stock; identifying factors that they take into account			
S17	Describes how they check chemical deliveries for quality and content			

# GROUP 5: (Core) Team player

Ref.	Apprenticeship Standard Criteria	WORK-LOG EVIDENCE REFERENCE (Apprentice Input)		
		1	2	3
B4	Describes being a team player in the workplace, outlining the situation and the role they played			



# GROUP 6: (Core) Customer focus

Ref.	ef. Apprenticeship Standard Criteria		PORTFOLIO EVIDENCE REFERENCE		
		(Apprentice Input)			
			2	3	
B5	Describes being customer focused, outlining the situation and the approach they applied				



# GROUP 7: (Clean water process operative) Clean water-operating parameters

Ref.	Ref. Apprenticeship Standard Criteria		PORTFOLIO EVIDENCE REFERENCE (Apprentice Input)		
		1	2	3	
K15	Describes the impact of weather and the failure of an operating parameter on the treatment process				

# GROUP 8: (Clean water process operative) Clean water-treatment work assets and equipment

Ref.	ef. Apprenticeship Standard Criteria		PORTFOLIO EVIDENCE REFERENCE (Apprentice Input		
		1	2	3	
K16	Identifies the purpose of a given clean water asset				
K17	Describes the consequences of asset failure on clean water process				



# GROUP 7: (Waste water process operative) Waste water-operating parameters

Ref.	Apprenticeship Standard Criteria	PORTFOLIO EVIDENCE REFERENCE (Apprentice Input)		
		1	2	3
K26	Describes the impact of weather and the failure of an operating parameter on the treatment process			

# GROUP 8: (Waste water process operative) Waste water-treatment work assets and equipment

Ref.	ef. Apprenticeship Standard Criteria		ORTFOL VIDENC FEREN entice I	E CE
		1	2	3
K27	Identifies the purpose of a given waste water asset			
K28	Describes the consequences of asset failure on waste water process			



# Appendix E: Observation with Questions Planning Form

#### Instructions

This form has two purposes:

- 1. To help you plan a practice Observation with Questions for your apprentices
- 2. To inform EUIAS of the proposed task(s) for the live assessment

#### The apprentice is assessed:

- in their normal place of work. The apprentice completes their day-to-day duties under normal working conditions. This allows the apprentice to demonstrate the KSBs through naturally occurring evidence. Simulation is not permitted during the observation
- A total of 4 hours. The observation may not be split other than to allow comfort breaks as necessary or to allow the apprentice to move from one location to another as required
- Equipment and resources needed for the assessment must be in good and safe working condition

The activities should be designed to assess a broad range of the skills, knowledge and behaviours developed over the period of the apprenticeship. However as a minimum the practical assessment will need to cover the activities and KSBs listed in the planning form below.

EUIAS offers a service to review the employer/training provider's Observation with Questions task brief.

Task variations: If you have more than one apprentice being assessed, use the "Practical Task variations" section of the form to indicate what the task variations that will be put in place so that apprentices are not asked to complete identical tasks.

Complete the 'Observation with Questions Planning Form' and submit it to the Service Delivery team via <a href="mailto:enquiries@euias.co.uk">enquiries@euias.co.uk</a>, for <a href="mailto:review at least 1">review at least 1</a> month <a href="mailto:before the start">before the start</a> of the end-point assessment



# Observation with Questions Planning Form

Employer name and site address	
Training provider (if applicable)	
Standard	Water Process Operative
Pathway	Clean water process □
Tallway	Waste water process □
Level	2
Location of practical	
Contact Details: Employer/training provider representative, email address and contact number overseeing the setup of the practical (documents and site).	

Use the boxes below to describe the task(s). Details for the individual KSBs can be found in the reference table on pages:48 – 49.

Core requirements
Work preparation
KSBs covered: S1
NODS COVERED. OT
Health, safety and environment
KSBs covered: K5 S2 S3 B1 B



Policy and procedures/determine action
KSBs covered: K3 ☐ S9 ☐
First line operational maintenance
[Requirement: Conduct a minimum of <b>two</b> types of first line operation maintenance
on water assets]
KSBs covered: K8 S10 S
Tools and equipment
KSBs covered: K9 ☐ S11 ☐ S12 ☐ S13 ☐
Housekeeping
KSBs covered: S14
Information/IT
KSBs covered S18 S19 S20 S20
NSBS covered 518 LJ 519 LJ 520 LJ



Takes responsibility
KSBs covered: B2
Demonstrate professionalism
KSBs covered: B3
Clean water process operative option
Clean water – samples  [Paguiroment Take, test and record water, a minimum of three different types of
[Requirement Take, test and record water - a minimum of three different types of samples from across the process, checking and recording compliance levels]
camples from across the presses, encouning and resoluting compliants levels
KSBs covered: K20 S21 S
Clean water – process
Clean water – process
Clean water – process
Clean water – process



Waste water process operative option
Waste water - samples
[Requirement Take, test and record water - a minimum of three different types of
samples from across the process, checking and recording compliance levels]
KSBs covered: K31 S24 S24
Waste water – process
[Requirement: Apply minimum of two water processes]
<b>KSBs covered</b> : K10 ☐ S4 ☐ S7 ☐ S15 ☐ S25 ☐ S26 ☐
Practical Task Variations - Describe how you can vary this task to ensure that the
task does not become predictable.
talen esse met a sesime production.
Variation 1:
Variation 1.
Marifalla a O
Variation 2:
Variation 3:



Special requirements	(for example: access arrangements/PPE):
Resources (for example	e: equipment/tools required):
Estimated total duration	on of practical task(s) must be 4 hours
Please state time for ti	ne practical task(s):
Remember:	
The specific detail from the apprent	of the tasks to be undertaken should be <b>kept confidential</b> ices
Practical Task: Include re	elevant photographs to illustrate task(s)
EUIAS Office use onl	У
Date received	
Date signed off	



#### Observation with Questions KSB Reference

This reference table will assist the employer and/or training provider to identify the KSB.

### Core Knowledge

**K3:** Policy and procedures: operating manual, safety, emergencies, security, isolation – their purpose.

**K5:** Core. Dynamic risk assessments.

**K8:** First line operational maintenance: different types - cleaning, greasing, and washing, removing debris and clearing blockages.

**K9:** Maintenance tools and equipment: rakes, spades, pressure washers, brushes, spanners.

**K10:** Telemetry and monitoring processes; monitoring variables including flow, quality, turbidity (particles), chemical usage. Limits, consequences of being outside limits.

### Knowledge: Clean water process option

**K20:** Clean water sampling requirements: different types of samples, sample points, storage, labelling, safe disposal, recording results, permits and consent parameters.

#### Knowledge: Wastewater process option

**K31:** Wastewater sampling requirements: different types of samples, sample points, storage, labelling, safe disposal, recording results, permits and consent parameters.

#### Core Skills

**S1:** Organise and prioritise work.

**S2:** Identify risks and control measures.

**S3:** Follow health and safety and environmental legislation, regulations and practice. For example, apply control measures, wear PPE, harness, gas detector and breathing apparatus required for the task.

**S4:** Read and interpret information/data.

S6: Undertake sensory analysis.

**S7:** Use measuring equipment.

**S9:** Determine action and follow procedure.

**\$10:** Undertake first line operational maintenance of assets.



**S11:** Select and use tools and equipment; check/calibrate equipment.

**\$12:** Undertake first line maintenance of tools and equipment, for example cleaning.

**\$13:** Store tools and equipment.

**S14:** Maintain housekeeping: tidy, segregate and dispose of waste.

**\$15:** Prepare and use chemicals.

\$18: Communicate – verbal.

**\$19:** Use technology.

**\$20:** Record information/complete documentation.

#### Skills: Clean water process option

**S21:** Take clean water sample; process.

**S22:** Operate clean water treatment work assets.

**S23:** Apply clean water treatment processes.

#### Skills: Wastewater process option

**S24:** Take wastewater sample; process.

**S25:** Operate wastewater treatment work assets.

**S26:** Apply wastewater treatment processes.

#### **Core Behaviours**

**B1:** Core. Prioritises on health, safety and environment for example, challenges unsafe practice, says 'no' where action could have negative impact.

**B2:** Core. Takes responsibility for work for example, completes allocated work, takes proactive approach, knows own limitations and asks for help where required.

**B3:** Core. Professional for example, ethical – does the right thing, trust-worthy; presents positive image of self and company – work attire worn, polite and respectful



# Appendix F: Practice Observation with Questions Template

# Water Process Operative Clean Water Observation with Questions

Name of Apprentice	
Location(s) of Practice Observation	
Name of Assessor	
Date of Practice Observation	
Start Time	
End Time	
Assessor additional comments	

			Grade
Please indicate the apprentice's practic	e observati	on grade	
(F/P/D):			

#### **Please Note:**

To achieve a Pass, the Apprentice must achieve all the pass descriptors.

To achieve a Distinction, the Apprentice must achieve all the pass descriptors and eight out of 12 of the distinction descriptors.

Fail: the apprentice does not demonstrate all the pass descriptors.



Important points	
Assessment: The following activities must be observed:  Work preparation: complete dynamic risk assessment and equipment	identify control measures (written or visual); select tools and
Take, test and record water - a minimum of <b>three</b> different recording compliance levels ((please tick processes for	
Chlorine	pH
Suspended solids	Temperature
Turbidity	Metals
Ammonia	Nitrate
Phosphate	UV / DOC
Apply minimum of <b>two</b> water processes (please tick process	sses observed)
Disinfection	Clarification
Filtration	Chemical Dosing
Waste Streams	Advanced Water Treatment Processes
Raw Water Processes	Treated Water Storage Processes
Conduct a minimum of <b>two</b> types of first line operation mai	ntenance on water assets (please tick maintenance observed)
Calibration of equipment	Greasing / lubrication
Cleaning of flow meters / devices	Clearing blockages/removing debris
Exercising valves	Washing equipment



#### Introduction

At the start of the practical observation the Assessor will:

- Introduce themselves
- Confirm their role
- Provide apprentice with information on the format of the observation with questions, including the timescales they will be working to.

(The assessor can share the grading guidance with the apprentice as this appears in the assessment plan)

The apprentice will:

- Give their full name
- Their date of birth
- Their employer name
- Confirm they are prepared for the practical observation; and confirm they can continue with the practical observation.

The apprentice will be asked to show their identification to the Assessor prior to beginning the assessment

## Important points to inform the apprentice

- If at any point during the observation you perform an unsafe act/task which contravenes Health and Safety, I will immediately stop the observation.
- Please do not judge anything by me taking notes and you should not infer anything positive or negative from how long the observation lasts.
- In the live observation the assessor is not allowed to give you feedback at any point.
- Ensure that your mobile is turned off or somewhere where you will not be interrupted during the observation.

Name of person d	elivering the introdu	uction:		Date	
I confirm that I have	e received the Trace	de Test			
introduction				(Appr	entice signature)



## **Assessor Guidance**

## **Delivery**

- The observation with questions must take four hours. The time for questioning is included in the overall assessment time.
- You must ask a minimum of eight questions, across the tasks.
- Ensure to ask questions during natural stops between tasks and/or after completion of work.
- Follow-up questions can be asked where clarification is required
- The time for questioning is included in the overall assessment time.
- Answers to questions, must be recorded.



## **Work environment**

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)	
Organises tasks in order of priority		Justifies their order of work task priority and explains any grouping of tasks in relation to cost/time saving		
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions		
Comments: (what was observed)		Summary of response to question(s):		

**S1**: Organise and prioritise work.



## Health, safety and environment

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Conducts dynamic risk assessment (written or visual); Identifies any workplace risks and suitable control measures  Follows safe working practices and applies control methods; Wears PPE, harness, gas detector and breathing apparatus required for the task correctly, follows method statement and ensures health, safety and the environment is prioritised		Explains compliance with health and safety procedures with reference to the impact on individuals, business and the environment	
Pass Questions Develop some open-ended questions  Comments: (what was observed)		Distinction Questions  Develop some open-ended questions  Summary of response to question(s):	
WE. Dumania viale accessments			

**K5**: Dynamic risk assessments.

**\$2**: Identify risks and control measures.

**S3**: Follow health and safety and environmental legislation, regulations and practice. For example, apply control measures, wear PPE, harness, gas detector and breathing apparatus required for the task.

**B1**: Prioritises on health, safety and environment for example, challenges unsafe practice, says 'no' where action could have negative impact.



# Policy and procedures/determine action

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Determines appropriate action to be taken based on operational circumstances and follows appropriate policy and procedures		Gives reasons for their choice of action over other options  Note: This may be covered in 'Clean Water –	
<b>Note:</b> This should be covered when candidate covers the processes sections and carries out an 'adjustment' in 'Clean Water – processes' section.		processes' section	
Pass Questions		Distinction Questions	
Develop some open-ended questions		Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**K3**: Policy and procedures: operating manual, safety, emergencies, security, isolation – their purpose.

**S9**: Determine action and follow procedure.



First line operational maintenance: Apprentices must complete two maintenance activities

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Completes required first line operational maintenance of assets in line with company instructions		Explains the need to undertake operational maintenance that follows company policies/procedures	
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**K8**: First line operational maintenance: different types - cleaning, greasing, and washing, removing debris and clearing blockages. **\$10**: Undertake first line operational maintenance of assets.



## **Tools and equipment**

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Selects, checks, uses and stores appropriate tools and equipment for the task (including calibrating tools/equipment) in accordance with		Justifies their choice of equipment and tools over alternative choices to meet the task requirements.	
the specification and manufacturer's/ company's instructions, completing the first line maintenance if required		Gives reasons for undertaking equipment/tool checks in compliance with manufacturers guidance and company procedures/policies	
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**K9:** Maintenance tools and equipment: rakes, spades, pressure washers, brushes, spanners.

**\$11**: Select and use tools and equipment; check/calibrate equipment.

**\$12**: Undertake first line maintenance of tools and equipment, for example cleaning.

**\$13**: Store tools and equipment.



# Housekeeping

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	
Maintains housekeeping; keeps work area tidy as they go, segregates and disposes of waste in line with company policy			
Pass Questions Develop some open-ended questions			
Comments: (what was observed)		Summary of response to question(s):	

**\$14**: Maintain housekeeping: tidy, segregate and dispose of waste.



#### Information/IT

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Provides verbal information and records accurate and full information required for the task; information is suitable for the audience, uses technical terminology accurately and appropriately		Explains how and why they would adapt the communication when presented with a different audience	
Uses appropriate IT correctly to support the task			
Pass Questions  Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**\$18**: Communicate – verbal.

S19: Use technology.

**\$20**: Record information/complete documentation.



# Takes responsibility

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Takes responsibility to complete the tasks, for example completes action within limits of authority without direction; Asks for help where required		Identifies how effective their actions have been and where improvements to practice could be made to inform similar tasks in the future	
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**B2**: Takes responsibility for work for example, completes allocated work, takes proactive approach, knows own limitations and asks for help where required.



# **Demonstrate professionalism**

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Behaves professionally, for example presents positive image of self and company – work attire worn correctly, polite and respectful			
Pass Questions No questions required – observation only			
Comments: (what was observed)			

**B3**: Professional for example, ethical – does the right thing, trust-worthy; presents positive image of self and company – work attire worn, polite and respectful



# **Clean Water Samples**

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Uses correct sample method, sample point and collection vessel		Identifies and explains the reasons why errors can arise in testing, for example sample volume, reagent and time and how they mitigate against	
Notes: Apprentice must take, test and record 3 water samples across the processes from the following list of parameters: Chlorine, Suspended solids, Turbidity, Ammonia, Phosphate, pH, Temperature, Metals, Nitrate, UV / DOC		errors	
Processes sample in line with company requirements, for example applies test, records result and disposes of sample correctly, or labels, stores and sends sample for testing		Explains the need to follow permit and consent parameters	
Identifies sample requirements in relation to permit and consent parameters		Identifies adverse trends and takes relevant action	
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	



Comments: (what was observed)	Summary of response to question(s):

**K20**: Clean water process operative. Clean water sampling requirements: different types of samples, sample points, storage, labelling, safe disposal, recording results, permits and consent parameters.

**S21**: Take clean water sample; process.



# Clean water – process: Apprentice should complete the tasks below across 2 processes

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Reads and interprets information/data, uses measuring equipment and undertakes sensory analysis to correctly determine operating variables		Identifies opportunities for process efficiencies to reduce the costs of water treatment and/or to optimise performance	
Prepares and uses chemicals in line with manufacturer's/company's instructions, ensuring safe use		Explains consequences of process optimisation on upstream and/or downstream processes	
Applies appropriate clean water treatment process in line with company instructions to maintain/address operational performance/parameters within given tolerances			



Operates (adjusts) clean water treatment work assets in line with company instructions to maintain/address operational performance/parameters within given limits	
Pass Questions Develop some open-ended questions	Distinction Questions  Develop some open-ended questions
Comments: (what was observed)	Summary of response to question(s):

**K10**: Telemetry and monitoring processes; monitoring variables including flow, quality, turbidity (particles), chemical usage. Limits, consequences of being outside limits.

**S4**: Read and interpret information/data.

**S6**: Undertake sensory analysis.

**\$7**: Use measuring equipment.

**\$15**: Prepare and use chemicals.

**\$22**: Clean water process operative. Operate clean water treatment work assets.

**S23**: Clean water process operative. Apply clean water treatment processes.



## **Additional Questions**

As only naturally occurring work is observed, those criteria that the apprentice did not have the opportunity to demonstrate can be assessed using the relevant following questions.

	Question	Task/ KSB covered by question	Apprentice response
1			
2			
3			



# Water Process Operative Waste Water Observation with Questions

Name of Apprentice	
Location(s) of Practice Observation	
Name of Assessor	
Date of Practice Observation	
Start Time	
End Time	
Assessor additional comments	

		Grade
Please indicate the apprentice's practice	e observation grade	
(F/P/D):		

#### **Please Note:**

To achieve a Pass, the Apprentice must achieve all the pass descriptors.

To achieve a Distinction, the Apprentice must achieve all the pass descriptors and eight out of 12 of the distinction descriptors.

Fail: the apprentice does not demonstrate all the pass descriptors.



	Important points							
	Assessment: The following activities must be observed:							
	Work preparation: complete dynamic risk assessment and identify control measures (written or visual); select tools and equipment							
	Take, test and record water - a minimum of <b>three</b> difference recording compliance levels ((please tick proces)	erent types of samples from across the process, checking and ses for parameters observed)						
	Biochemical Oxygen Demand (BOD)	Aluminium						
<b>A</b>	Chemical Oxygen Demand (COD)	Mixed Liquor Suspended Solids (MLSS)						
	Ammonia	Settled Sludge Volume Index (SSVI)						
	Phosphate	Dissolved Oxygen						
	Total Nitrogen (TN)	pH						
	Iron							
	Apply minimum of <b>two</b> waste water processes (please	e tick processes observed)						
	Biological Treatment	Settlement processes						
	Screening	Sludge thickening process						
	Chemical dosing	Sludge digestion*						
		*only to be used if the candidate is a biosolids operator						
Conduct a minimum of <b>two</b> types of first line operation maintenance on waste water assets (please tick maintenance observed)								
	Calibration of equipment	Greasing / lubrication						
	Cleaning of flow meters / devices	Clearing blockages/removing debris						
	Exercising valves	Washing equipment						



#### Introduction

At the start of the practical observation the Assessor will:

- Introduce themselves
- Confirm their role
- Provide apprentice with information on the format of the observation with questions, including the timescales they will be working to.

(The assessor can share the grading guidance with the apprentice as this appears in the assessment plan)

The apprentice will:

- Give their full name
- Their date of birth
- Their employer name
- Confirm they are prepared for the practical observation; and confirm they can continue with the practical observation.

The apprentice will be asked to show their identification to the Assessor prior to beginning the assessment

#### Important points to inform the apprentice

- If at any point during the observation you perform an unsafe act/task which contravenes Health and Safety, I will immediately stop the observation.
- Please do not judge anything by me taking notes and you should not infer anything positive or negative from how long the observation lasts.
- In the live observation the assessor is not allowed to give you feedback at any point.
- Ensure that your mobile is turned off or somewhere where you will not be interrupted during the observation.

Name of person delivering the introduction:		Date	
I confirm that I have received the Trade			
Test introduction		(Apprentice signature	):



## **Assessor Guidance**

## **Delivery**

- The observation with questions must take four hours. The time for questioning is included in the overall assessment time.
- You must ask a minimum of eight questions, across the tasks.
- Ensure to ask questions during natural stops between tasks and/or after completion of work.
- Follow-up questions can be asked where clarification is required
- The time for questioning is included in the overall assessment time.
- Answers to questions, must be recorded.



## Work environment

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Organises tasks in order of priority		Justifies their order of work task priority and explains any grouping of tasks in relation to cost/time saving	
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**S1**: Organise and prioritise work.



### Health, safety and environment

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Conducts dynamic risk assessment (written or visual); Identifies any workplace risks and suitable control measures  Follows safe working practices and applies control methods; Wears PPE, harness, gas detector and breathing apparatus required for the task correctly, follows method statement and ensures health, safety and the environment is prioritised		Explains compliance with health and safety procedures with reference to the impact on individuals, business and the environment	
Pass Questions  Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**K5**: Dynamic risk assessments.

**S2**: Identify risks and control measures.

**S3**: Follow health and safety and environmental legislation, regulations and practice. For example, apply control measures, wear PPE, harness, gas detector and breathing apparatus required for the task.

**B1**: Prioritises on health, safety and environment for example, challenges unsafe practice, says 'no' where action could have negative impact.



## Policy and procedures/determine action

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Determines appropriate action to be taken based on operational circumstances and follows appropriate policy and procedures		Gives reasons for their choice of action over other options  Note: This may be covered in 'Clean Water –	
<b>Note:</b> This should be covered when candidate covers the processes sections and carries out an 'adjustment' in 'Clean Water – processes' section.		processes' section	
Pass Questions		Distinction Questions	
Develop some open-ended questions		Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**K3**: Policy and procedures: operating manual, safety, emergencies, security, isolation – their purpose.

**S9**: Determine action and follow procedure.



### First line operational maintenance: Apprentices must complete two maintenance activities

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Completes required first line operational maintenance of assets in line with company instructions		Explains the need to undertake operational maintenance that follows company policies/procedures	
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**K8**: First line operational maintenance: different types - cleaning, greasing, and washing, removing debris and clearing blockages.

**\$10**: Undertake first line operational maintenance of assets.



## **Tools and equipment**

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Selects, checks, uses and stores appropriate tools and equipment for the task (including calibrating tools/equipment) in accordance with		Justifies their choice of equipment and tools over alternative choices to meet the task requirements.	
the specification and manufacturer's/ company's instructions, completing the first line maintenance if required		Gives reasons for undertaking equipment/tool checks in compliance with manufacturers guidance and company procedures/policies	
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**K9:** Maintenance tools and equipment: rakes, spades, pressure washers, brushes, spanners.

**\$11**: Select and use tools and equipment; check/calibrate equipment.

**\$12**: Undertake first line maintenance of tools and equipment, for example cleaning.

**\$13**: Store tools and equipment.



## Housekeeping

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	
Maintains housekeeping; keeps work area tidy as they go, segregates and disposes of waste in line with company policy			
Pass Questions Develop some open-ended questions			
Comments: (what was observed)		Summary of response to question(s):	

**\$14**: Maintain housekeeping: tidy, segregate and dispose of waste.



#### Information/IT

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Provides verbal information and records accurate and full information required for the task; information is suitable for the audience, uses technical terminology accurately and appropriately		Explains how and why they would adapt the communication when presented with a different audience	
Uses appropriate IT correctly to support the task			
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**\$18**: Communicate – verbal.

\$19: Use technology.\$20: Record information/complete documentation.



## Takes responsibility

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Takes responsibility to complete the tasks, for example completes action within limits of authority without direction; Asks for help where required		Identifies how effective their actions have been and where improvements to practice could be made to inform similar tasks in the future	
Pass Questions  Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Comments: (what was observed)		Summary of response to question(s):	

**B2**: Takes responsibility for work for example, completes allocated work, takes proactive approach, knows own limitations and asks for help where required.



## **Demonstrate professionalism**

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	
Behaves professionally, for example presents positive image of self and company – work attire worn correctly, polite and respectful			
Pass Questions No questions required – observation only			
Comments: (what was observed)			

**B3**: Professional for example, ethical – does the right thing, trust-worthy; presents positive image of self and company – work attire worn, polite and respectful



## **Waste Water Samples**

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Uses correct sample method, sample point and collection vessel  Notes: Apprentice must take, test and record 3 water samples across the processes from the following list of parameters: turbidity, suspended solids, Biochemical Oxygen Demand (BOD), Chemical Oxygen Demand (COD), ammonia, phosphate, total nitrogen (TN), Iron, Aluminium, Mixed Liquor Suspended Solids (MLSS), Settled Sludge Volume Index (SSVI), dissolved oxygen, pH		Identifies and explains the reasons why errors can arise in testing, for example sample volume, reagent and time and how they mitigate against errors	
Processes sample in line with company requirements, for example applies test, records result and disposes of sample correctly, or labels, stores and sends sample for testing		Explains the need to follow permit and consent parameters	
Identifies sample requirements in relation to permit and consent parameters		Identifies adverse trends and takes relevant action	



elop some open-ended questions
mary of response to question(s):

**K31:** Waste water process operative. Waste water sampling requirements: different types of samples, sample points, storage, labelling, safe disposal, recording results, permits and consent parameters.

**S24:** Waste water process operative. Take waste water sample; process.



## Waste water – process: Apprentice should complete the tasks below across 2 processes

To achieve a PASS the apprentice must demonstrate ALL the following pass descriptors	P (Y/N)	To achieve a DISTINCTION the apprentice must achieve ALL the pass descriptors and eight of the distinction descriptors	D (Y/N)
Reads and interprets information/data, uses measuring equipment and undertakes sensory analysis to correctly determine operating variables		Identifies opportunities for process efficiencies to reduce the costs of water treatment and/or to optimise performance	
Prepares and uses chemicals in line with manufacturer's/company's instructions, ensuring safe use		Explains consequences of process optimisation on upstream and/or downstream processes	
Applies appropriate waste water treatment process in line with company instructions to maintain/address operational performance/parameters within given tolerances			
Operates (adjusts) waste water treatment work assets in line with company instructions to maintain/address operational performance/parameters within given limits			
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	



Summary of response to question(s):

**K10**: Telemetry and monitoring processes; monitoring variables including flow, quality, turbidity (particles), chemical usage. Limits, consequences of being outside limits.

**\$4**: Read and interpret information/data.

**S6**: Undertake sensory analysis.

**\$7**: Use measuring equipment.

**\$15**: Prepare and use chemicals.

**S25:** Waste water process operative. Operate waste water treatment work assets.

**S26:** Waste water. Apply waste water treatment processes.



#### **Additional Questions**

As only naturally occurring work is observed, those criteria that the apprentice did not have the opportunity to demonstrate can be assessed using the relevant following questions.

	Task/ KSB covered by question	Apprentice response
1		
2		
3		



# Appendix G: Practice Interview Template

# Water Process Operative Clean Water Interview Questions

descriptors	ALL the pass descriptors
information provided is	s correct
ire:	Date:
	prentice must achieve descriptors information provided is



#### Introduction

At the start of the interview the assessor will:

- Introduce themselves
- State their role
- State the date of the interview
- · Request and confirm ID from the apprentice
- Provide apprentice with information on the format of the with questions, including the timescales they will be working to.

#### The apprentice will:

- Confirm their full name
- Confirm their date of birth
- Give their employer name
- Confirm their location and that no one else is present in the room, if remote apprentice to pan camera 360°
- Confirm they are prepared for the interview; and confirm they can continue with the interview
- Confirm that the evidence within the portfolio relates to the KSB's that will be assessed during the interview.

The apprentice will be asked to show their identification to the assessor prior to beginning the assessment

#### Important points to inform the apprentice

- Please do not judge anything by the notes being taken, nor infer anything positive or negative from how long the interview lasts.
- When the actual live interview takes place the Independent Assessor is not allowed to give you feedback at any point. So you will not be given any indication of your grade and whether you have passed or failed at the end of that interview.
- Please ensure that your mobile off or somewhere where you will not be interrupted during the interview.
- Sign placed on the door of the interview room. Interview in progress 'Do not disturb'.
- This live interview will be fully recorded for the purpose of audit and quality assurance.



#### **Assessor Guidance**

#### **Delivery**

- The interview will last 60 minutes. 10% is allowed for the apprentice to complete their last answer
- This is an assessor led formal interview and not a professional discussion. You must be in full control. Time management is key! If the apprentice veers off track, they need to be reined back in
- You must ask a minimum of **nine** open questions
- The purpose of the questions is to cover the following topics: water process operative role; chemicals: delivery and storage; identifying trends; fault-finding; stock; team player; customer focus; clean/waste water operating parameters; clean/waste water treatment work assets and equipment; and consequences of asset failure on clean/waste water process'
- Answers to questions, must be recorded.
- Additional follow-up questions are allowed to seek clarification and to make a judgement against grading descriptor
- Adapt the questions to the apprentice's circumstances following your review of their portfolio evidence
- Supply brief written notes where each criterion has been met



## **Water Process Operative Role**

Pass Apprentices must achieve ALL the following pass descriptors	Achieved Y/N	d Distinction Apprentices must achieve ALL the pass descriptors and four out of six of the distinction descriptors		Achieved Y/N
Describes the water process operative role, position in structure, limits of authority; escalation procedures		Explains the impact of their role on the wider water process operation		
Portfolio reference		Portfolio reference		
Pass Questions Develop some open-ended questions		Distinction Question Develop some open-		
Summary of response to question(s):				

**K2** Water process operative role; position in structure, limits of authority; escalation procedures.



## **Chemicals: Delivery and Storage**

Pass Apprentices must achi descriptors	ieve ALL the following pass	Achieved Y/N		nieve ALL the pass descriptors the distinction descriptors	Achieved Y/N	
Outline delivery and storage requirements for a (given example) water process chemical  • delivery permits / consignment notes			Explain why it is necessary to follow delivery and storage requirements and the consequences of not following the correct procedures.			
<ul> <li>storage require</li> </ul>	ments					
<ul><li>tank limits</li></ul>						
interceptor / but	nd levels					
Portfolio reference			Portfolio reference			
Pass Questions Develop some open-e	nded questions		Distinction Question Develop some open-			
Summary of respons	se to question(s):					

K12 Chemicals: delivery and storage requirements - permits, limits



## Identifying Trends/Fault-finding

Pass Apprentices must achieve ALL the following pass descriptors	Achieved Y/N	Distinction Apprentices must achieve ALL the pass descriptors and four out of six of the distinction descriptors	Achieved Y/N
Describes how they have identified a decline trend in process performance and applied a fault-finding technique to find the cause		Explains the action they took to resolve the fault, justifying the option taken	
Portfolio reference		Portfolio reference	
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Summary of response to question(s):			

K11 Water process fault finding techniques – visual, flow, odour, listening.

**S5** Identify trends.

**S8** Apply fault finding techniques.



#### Stock

Pass Apprentices must achieve ALL the following pass descriptors	Achieved Y/N	Distinction Apprentices must achieve ALL the pass descriptors and four out of six of the distinction descriptors	Achieved Y/N
Describes how they audit and request chemical and consumables stock; identifying factors that they take into account	sumables stock; identifying factors that they take stock usage and how they would man		
Describes how they check chemical deliveries for quality and content			
Portfolio reference		Portfolio reference	
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions	
Summary of response to question(s):			

**\$16** Audit and request chemical and or consumables stock.

**\$17** Check chemical deliveries – quality/content.



## **Team Player**

Pass Apprentices must ach descriptors	nieve ALL the following pass	Achieved Y/N	Distinction Apprentices must achieve ALL the pass descriptors and four out of six of the distinction descriptors	
	am player in the workplace, and the role they played			
Portfolio reference		1		
Pass Questions Develop some open-e	ended questions			
Summary of respon	se to question(s):			
7				

**B4** Team player for example, keeps others informed.



#### **Customer Focus**

Pass Apprentices must achieve ALL descriptors		 chieve ALL the pass descriptors of the distinction descriptors
Describes being customer foci situation and the approach the	_	
Portfolio reference		
Pass Questions Develop some open-ended qu	estions	
Summary of response to que	estion(s):	
7		

**B5** Customer focus for example, polite, courteous.



#### **Clean Water Operating Parameters**

Pass Apprentices must achieve ALL the following pass descriptors	Achieved Y/N	Distinction Apprentices must achieve ALL the pass descriptors and four out of six of the distinction descriptors		Achieved Y/N
Describes the impact of weather and the failure of an operating parameter on the treatment process		Explains the impact of the weather or failure of an operating parameter on downstream and/or upstream processes		
Portfolio reference		Portfolio reference		
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions		
Summary of response to question(s):				

**K15** Clean water process operative. Clean water operating parameters, consequences of failure, impact of weather conditions on treatment processes.



### Clean Water Treatment Work Assets and Equipment; Consequences of Asset Failure on Clean Water Process

Pass Apprentices must achieve ALL the following pass descriptors		Achieved Y/N	Distinction Apprentices must achieve ALL the pass descriptors and four out of six of the distinction descriptors		Achieved Y/N	
Identifies the purpose of a given clean water asset			Explains the consequences of that failure on the			
Describes the consection clean water process	quences of asset fail	ure on		water treatment works		
Portfolio reference	Portfolio reference			Portfolio reference		
Pass Questions Develop some open-ended questions		Distinction Questions  Develop some open-ended questions				
Summary of respon	se to question(s):					

**K16** Clean water process operative. Clean water treatment work assets and equipment: pumps, pressure vessels, reservoirs, tanks, valves.

K17 Clean water process operative. Consequence of asset failure on clean water process.



Additional follow up questions	Apprentice Response



# Water Process Operative Waste Water Interview Questions

Full Name of Apprentice		
Full Name of Assessor		
Date		
Start Time		
End Time		
Assessor additional comments		
Provisional Grade:  To achieve a PASS the apprentice To achieve a DISTINCTION the ap and four out of six of the distinction	prentice must achieve	
By signing below, I confirm that the	information provided is	correct
Assessor Full Name and Signatu	ure:	Date:



#### Introduction

At the start of the interview the assessor will:

- Introduce themselves
- State their role
- State the date of the interview
- Request and confirm ID from the apprentice
- Provide apprentice with information on the format of the with questions, including the timescales they will be working to.

#### The apprentice will:

- Confirm their full name
- Confirm their date of birth
- Give their employer name
- Confirm their location and that no one else is present in the room, if remote apprentice to pan camera 360°
- Confirm they are prepared for the interview; and confirm they can continue with the interview
- Confirm that the evidence within the portfolio relates to the KSB's that will be assessed during the interview.

The apprentice will be asked to show their identification to the assessor prior to beginning the assessment

#### Important points to inform the apprentice

- Please do not judge anything by the notes being taken, nor infer anything positive or negative from how long the interview lasts.
- When the actual live interview takes place the Independent Assessor is not allowed to give you feedback at any point. So you will not be given any indication of your grade and whether you have passed or failed at the end of that interview.
- Please ensure that your mobile off or somewhere where you will not be interrupted during the interview.
- Sign placed on the door of the interview room. Interview in progress 'Do not disturb'.
- This live interview will be fully recorded for the purpose of audit and quality assurance.



#### **Assessor Guidance**

#### **Delivery**

- The interview will last 60 minutes. 10% is allowed for the apprentice to complete their last answer
- This is an assessor led formal interview and not a professional discussion. You must be in full control. Time management is key! If the apprentice veers off track, they need to be reined back in
- You must ask a minimum of **nine** open questions
- The purpose of the questions is to cover the following topics: water process operative role; chemicals: delivery and storage; identifying trends; fault-finding; stock; team player; customer focus; clean/waste water operating parameters; clean/waste water treatment work assets and equipment; and consequences of asset failure on clean/waste water process'
- Answers to questions, must be recorded.
- Additional follow-up questions are allowed to seek clarification and to make a judgement against grading descriptor
- Adapt the questions to the apprentice's circumstances following your review of their portfolio evidence
- Supply brief written notes where each criterion has been met



### **Water Process Operative Role**

Pass Apprentices must achieve ALL the following pass descriptors	Achieved Y/N	• •	nieve ALL the pass descriptors the distinction descriptors	Achieved Y/N
Describes the water process operative role, position in structure, limits of authority; escalation procedures		Explains the impact of process operation	f their role on the wider water	
Portfolio reference		Portfolio reference		
Pass Questions Develop some open-ended questions		Distinction Question Develop some open-		
Summary of response to question(s):				

**K2** Water process operative role; position in structure, limits of authority; escalation procedures.



### **Chemicals: Delivery and Storage**

Pass Apprentices must ach descriptors	ieve ALL the following pass	Achieved Y/N	• •	nieve ALL the pass descriptors the distinction descriptors	Achieved Y/N
(given example) wate	torage requirements for a r process chemical s / consignment notes			essary to follow delivery and and the consequences of not procedures.	
<ul> <li>storage require</li> </ul>	ements				
<ul> <li>tank limits</li> </ul>					
interceptor / bu	ind levels				
Portfolio reference			Portfolio reference		
Pass Questions Develop some open-ended questions		Distinction Question Develop some open-e	_		
Summary of respons	se to question(s):				

K12 Chemicals: delivery and storage requirements - permits, limits



#### **Identifying Trends/Fault-finding**

Pass Apprentices must achieve ALL the following descriptors	ing pass	Achieved Y/N		nieve ALL the pass descriptors the distinction descriptors	Achieved Y/N
Describes how they have identified a dec in process performance and applied a fau technique to find the cause			Explains the action the justifying the option to	ney took to resolve the fault, aken	
Portfolio reference		1	Portfolio reference		
Pass Questions Develop some open-ended questions			Distinction Question Develop some open-		
Summary of response to question(s):			l		

K11 Water process fault finding techniques – visual, flow, odour, listening.

**S5** Identify trends.

**S8** Apply fault finding techniques.



#### Stock

Pass Apprentices must achieve ALL the following pascriptors	Achieved Pass Y/N	Apprentices must ach	nieve ALL the pass descriptors the distinction descriptors	Achieved Y/N
Describes how they audit and request chemical and consumables stock; identifying factors that they take into account		Explains how process performance may influence stock usage and how they would manage stock levels		
Describes how they check chemical deliveries quality and content	s for			
Portfolio reference		Portfolio reference		
Pass Questions Develop some open-ended questions		Distinction Question Develop some open-		
Summary of response to question(s):				
7				

**\$16** Audit and request chemical and or consumables stock.

**\$17** Check chemical deliveries – quality/content.



### **Team Player**

Pass Apprentices must ach descriptors	nieve ALL the following pass	Achieved Y/N	Distinction Apprentices must achieve ALL the pass descriptors and four out of six of the distinction descriptors	
	am player in the workplace, and the role they played			
Portfolio reference		1		
Pass Questions Develop some open-	ended questions			
Summary of respon	se to question(s):			

**B4** Team player for example, keeps others informed.



#### **Customer Focus**

Pass Apprentices must achieve ALL the following pass descriptors	Achieved Y/N	Distinction Apprentices must achieve ALL the pass descriptors and four out of six of the distinction descriptors	
Describes being customer focused, outlining the situation and the approach they applied			
Portfolio reference			
Pass Questions Develop some open-ended questions			
Summary of response to question(s):			

**B5** Customer focus for example, polite, courteous.



#### **Waste Water Operating Parameters**

Pass Apprentices must achieve ALL the following pass descriptors	Achieved Y/N	• •	chieve ALL the pass out of six of the distinction	Achieved Y/N
Describes the impact of weather and the failure of an operating parameter on the treatment process		an	of the weather or failure of r on downstream and/or	
Portfolio reference		Portfolio reference		
Pass Questions Develop some open-ended questions		<b>Distinction Question</b> Develop some open		
Summary of response to question(s):				

**K26** Waste water process operative. Waste water operating parameters, consequences of failure, impact of weather conditions on treatment processes.



### Waste Water Treatment Work Assets and Equipment; Consequences of Asset Failure on Clean Water Process

Pass Apprentices must achieve ALL the following pass descriptors	apprentices must achieve ALL the following Y/N		achieve ALL the pass  ur out of six of the distinction	
Identifies the purpose of a given waste water asset		Explains the consequences of that failure on the water treatment works		
Describes the consequences of asset failure on waste water process				
Portfolio reference		Portfolio reference		
Pass Questions Develop some open-ended questions		<b>Distinction Question</b> Develop some open		
Summary of response to question(s):				

**K27** Waste water process operative. Waste water treatment work assets and equipment: primary settlement tanks, biological filters, activated sludge plants, final settlement tank, digesters. Flow meters, pumps, screens. **K28** Waste water process operative. Consequence of waste water asset failure.



Additional follow up questions	Apprentice Response



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